

AMENDMENT

It is agreed by and between the State of Vermont, Department of Vermont Health Access (hereafter called the "State") and Archetype Consulting, Inc. (hereafter called the "Contractor") that the contract on the subject of personal services for Business Intelligence and Reporting related to the State's on-line health insurance exchange, effective January 3, 2015, is hereby amended effective July 1, 2017 as follows:

1. By deleting Section 3 (Maximum Amount) on page 1 of 48 of the base agreement, as previously amended, and substituting in lieu thereof the following Section 3:

3. Maximum Amount. In consideration of the services to be performed by the Contractor, the State agrees to pay the Contractor, in accordance with the payment provisions specified in Attachment B, a fixed sum of **\$15,128,680.23**. The total maximum amount of funds available for the period of July 1, 2017 and April 30, 2018 shall not exceed \$2,815,046.

2. By deleting Section 4 (Contract Term) on page 1 of 48 of the base agreement, as previously amended, and substituting in lieu thereof the following Section 4:

4. Contract Term. The period of Contractor's performance shall begin on January 3, 2015 ("Effective Date"), and end on April 30, 2018. The retroactive start date of this Amendment 7 is July 1, 2017. Work performed between July 1, 2017 (retroactive date) and the execution of this Amendment 7 that are in conformity with Attachment A may be billed under this agreement. Contractor agrees that in exchange for the consideration of the option to bill for services performed, all terms and conditions described in this agreement shall apply to any and all services performed for or on behalf of the State. Contractor affirms that all services performed (for which the Contractor has, is, or will be submitting invoices and/or bills) prior to the finalization of this Amendment 7 or the signing of this Amendment 7 were completed in conformity with all terms of this contract. In the event that this Amendment is executed and the State learns that such interim work was not performed in conformity with all terms of this contract, the State may treat the revelation of such information as a breach. Contractor further agrees to defend, indemnify, and hold the State harmless for any claim, dispute, non-contractual cost or charge, or any liability whatsoever, whether in law, equity, or otherwise, which arises from or is connected to the work performed prior to the execution of this agreement. Contractor further agrees that these terms apply regardless of whether the work is accepted by the State, and regardless of whether payment is issued by the State to the Contractor for the work in question.

3. By adding to Attachment A, Section I, as previously amended, the following scope for the period of July 1, 2017 through April 30, 2018:

I.10 DETAILED DESCRIPTION OF PRODUCTS AND SERVICES FOR JULY 1, 2017, THROUGH APRIL 30, 2018 – Maintenance and Operations (M&O)

Operational/Ad Hoc Reporting

- a) The Contractor shall fulfill requests made by the State for reports or data to support issue investigation, outreach, operational decision-making and compliance.
- b) The Contractor shall coordinate and fulfill recurring operational reports (e.g., QHP Reconciliation) identified on the scheduled report calendar.

IRS 1095 Reporting Activities

1095A Noticing, Correction, and End-of-Month Reporting for Plan Year 2016 and Plan Year 2017

1. The Contractor shall perform 1095A Initial Noticing to Customers (users of Vermont Health Connect) and End-of-Year XML File Submission to IRS for Plan Year 2016 and Plan Year 2017, including but not limited to:
 - a) Execution of Noticing cycle batches.
 - b) Assistance with User Verification Testing (UVT) of Noticing batch results.
 - c) Staging of Notices (1095A Forms) for pickup by the State's designated printer to enable printing and distribution to Customers.
 - d) Verification of count of actual Notice and reconciliation with expected count.
 - e) Generation and submission of End-of-Year (EOY) XML file to IRS (IRS Annual).
2. The Contractor shall perform 1095A Correction Processing for Plan Year 2016 and Plan Year 2017, including but not limited to:
 - a) Execution of Correction cycle batches, which includes new Initial Notice, Void, and Correction 1095A Forms as applicable.
 - b) Assistance with the review and curation of Correction batches.
 - c) Assistance with User UVT of Correction batch results.
 - d) Staging of Corrections (Initial Notice, Void, and Correction 1095A Forms) for pickup by the State's designated printer to enable printing and distribution to customers.
 - e) Verification of count of actual Notice and reconciliation with expected count.
 - f) Generation and submission of EOY XML file update to IRS.
 - g) Generation and submission of End-of-Month (EOM) XML File to IRS (IRS Monthly).
 - h) Generation and submission of monthly PLR File to CMS (in parallel with IRS Monthly submission).
 - i) Intake of IRS and CMS response files; delivery of outcomes to the State and assistance with review and triage of outcomes.

1095A Case Analysis and Triage for Plan Year 2016 and Plan Year 2017

- a) The Contractor shall fulfill requests made by the State to analyze and support the resolution of 1095A exceptional cases.
- b) The Contractor shall document progress and status of resolution of 1095A exceptional cases.

1095B Noticing, Correction, and End-of-Year Reporting for Plan Year 2016 and Plan Year 2017

1. The Contractor shall perform 1095B Initial Noticing to Customers and End-of-Year XML File Submission to IRS for Plan Year 2016 and Plan Year 2017, including:
 - a) Execution of Noticing cycle batches.
 - b) Development of logic to include/exclude records from the submission set for a specific submission period.
 - c) Assistance with User Verification Testing (UVT) of Noticing batch results.
 - d) Staging of Notices (1095B Forms) for pickup by the State's designated printer to enable printing and distribution to customers.
 - e) Verification of count of actual Notice and reconciliation with expected count.
 - f) Generation and submission of End-of-Year (EOY) XML file to IRS (IRS Annual).
2. The Contractor shall perform 1095B Correction Processing for Plan Year 2016 and Plan Year 2017, including:
 - a) Execution of Correction cycle batches, which shall include new Initial Notice and Correction 1095B Forms as applicable.

- b) Development of logic to include/exclude records from the submission set for a specific submission period.
- c) Assistance with the review and curation of Correction batches.
- d) Assistance with User Verification Testing (UVT) of Correction batch results.
- e) Staging of Corrections (Initial Notice and Correction 1095B Forms) for pickup by the State's designated printer to enable printing and distribution to customers.
- f) Verification of count of actual Notice and reconciliation with expected count.
- g) Generation and submission of EOY XML file update to IRS.
- h) Intake of IRS response files; delivery of outcomes to the State and assistance with review and triage of outcomes.

1095B Case Analysis and Triage for Plan Year 2016 and Plan Year 2017

- a) The Contractor shall fulfill requests made by the State to analyze and support the resolution of exceptional 1095B cases.
- b) The Contractor shall document progress and status of resolution of 1095B exceptional cases.

1095A Initiating Activities for Plan Year 2017

- a) The Contractor shall perform system setup and configuration to enable the processing of 1095A data for Plan Year 2017.
- b) The Contractor shall develop of logic to include/exclude records from the submission set for a specific submission period.
- c) The Contractor shall generate and submit End-of-Month (EOM) XML File to IRS (IRS Monthly).
- d) The Contractor shall generate and submit monthly PLR File to CMS (in parallel with IRS Monthly submission).
- e) The Contractor shall perform intake of IRS and CMS response files; delivery of outcomes to the State and assistance with review and triage of outcomes.

1095B Initiating Activities for Plan Year 2017

- a) The Contractor shall perform system setup and configuration to enable the processing of 1095B data for Plan Year 2017.
- b) The Contractor shall provide assistance with a preliminary review of Plan Year 2017 data to support the assessment of record submission readiness.
- c) The Contractor shall develop logic to include/exclude records from the submission set for a specific submission period.

CMS Enrollment Interface Monthly File Submission and Correction

- a) The Contractor shall perform iterative refinement of CMS Enrollment Interface based on production results.
- b) The Contractor shall generate, submit, and await acceptance of CMS Enrollment Interface XML file in Production on a monthly basis.
- c) The Contractor shall perform intake of CMS response files; delivery of outcomes to the State and assistance with review and triage of outcomes.

Reporting Infrastructure Support

The Contractor shall fulfill requests made by the State to support issue investigation, research, capability, or sustainability of any infrastructure or technology tools, software, or devices.

Transition Activities

The Contractor shall support for transition documentation development and planning across all work streams.

Customer Support

The Contractor shall provide technical support for new VHC hires, load monitoring, debugging, data warehouse field creation, SQL support and ODI support.

Business Operations Support

The Contractor shall:

- a) Provide analysis of system, policy and operational issues.
- b) Develop and document recommended workarounds, enhancements, business processes.
- c) Support testing and system validation.

4. By adding to Attachment A, Section II, as previously amended, the following scope for the period of July 1, 2017 through April 30, 2018:

II.10 WORK PRODUCTS AND DELIVERABLES FOR JULY 1, 2017, THROUGH APRIL 30, 2018

II.10.a WORK PRODUCTS AND DELIVERABLES FOR JULY 1, 2017, THROUGH APRIL 30, 2018 – Maintenance and Operations (M&O)

The following table identifies the M&O Deliverables to be provided by the Contractor between July 1, 2017 and April 30, 2018 throughout the course of performing the Activities described in Section I.10 of this Attachment A.

Work Stream: IRS 1095 Reporting Activities				
Activity	Deliverable ID	Deliverable Title	Deliverable Description	Delivery Period
Ad Hoc & Operational Report Delivery	M&O: OPS-RPT.01	Ad Hoc/Operational Reports	Coordination, fulfillment or support of Ad Hoc or Recurring operational reports.	July – August
Ad Hoc & Operational Report Delivery	M&O: OPS-RPT.02	Report Investigation	Fulfillment of requests to support issue investigation, outreach, operational decision making	July – August
Work Stream: IRS 1095 Reporting Activities				
Activity	Deliverable ID	Deliverable Title	Deliverable Description	Delivery Period
1095A Noticing/Correction/End of Month Reporting Plan Year(PY) 2016	M&O: IRS-A.01	Noticing – PY2016	Noticing to Customers and End of year XML File Submission	July – October
1095A Noticing/Correction PY2016	M&O: IRS-A.02	Correction Processing – PY2016	Execution of Correction cycle batches and UVT of Corrections	July – October

1095A Triage	M&O: IRS-A.03	Case Analysis and Triage	Fulfillment of request to support resolution of 1095A exceptional cases	July – October
1095 B Noticing/Correction PY2016	M&O: IRS-B.01	Noticing-PY2016	Noticing to Customers and End of year XML File Submission	July – October
1095 B Noticing/Correction PY2016	M&O: IRS-B.02	Correction Processing – PY2016	Execution of Correction cycle batches and UVT of Corrections	July – October
1095B Triage	M&O: IRS-B.03	Case Analysis and Triage	Fulfillment of request to support resolution of 1095B exceptional cases	July – September
1095A Initiation – PY2017	M&O: IRS-A.04	Initiating Activities PY 2017	System set up, configuration, submission logic development and XML and PLR file generation	July – December
1095B Initiation – PY2017	M&O: IRS-B.04	Initiating Activities PY 2017	System set up, configuration, submission logic development	July - December
1095A Noticing/Correction/End of Month Reporting Plan Year(PY) 2017	M&O: IRS-A.05	Noticing – PY2017	Noticing to Customers and End of year XML File Submission	December - April
1095A Noticing/Correction PY2017	M&O: IRS-A.06	Correction Processing – PY2017	Execution of Correction cycle batches and UVT of Corrections	December - April
1095A Triage PY2017	M&O: IRS-A.07	Case Analysis and Triage	Fulfillment of requests to support resolution of 1095A exceptional cases	January - April
1095 B Noticing/Correction PY2017	M&O: IRS-B.05	Noticing-PY2017	Noticing to Customers and End of year XML File Submission	December – April
1095 B Noticing/Correction PY2017	M&O: IRS-B.06	Correction Processing – PY2017	Execution of Correction cycle batches and UVT of Corrections	December - April
1095B Triage	M&O: IRS-B.07	Case Analysis and Triage	Fulfillment of requests to support resolution of 1095B exceptional cases	February – April
Work Stream: CMS Enrollment Activities				
Activity	Deliverable ID	Deliverable Title	Deliverable Description	Delivery Period
CMS Enrollment Interface	M&O: CMS.01	Enrollment Interface and Monthly File Submission	Enrollment interface refinement, generation and acceptance of XML file and intake of CMS response	July - January
Work Stream: Reporting Sustainability Activities				

Activity	Deliverable ID	Deliverable Title	Deliverable Description	Delivery Period
Reporting Sustainability	M&O: SUS.02	Infrastructure Support	Issue investigation, support for infrastructure and technology tool sustainability	July - December
Work Stream: Transition Activities				
Activity	Deliverable ID	Deliverable Title	Deliverable Description	Delivery Period
Transition Activities	M&O: TRANS.01	Transition Support	Transition documentation support and planning across all work streams	July - March
Customer Support	M&O: TRANS.02	Technical and Operational Support	Support for new hires, load monitoring, debugging, data warehouse field creation, SQL and ODI support	July- April
Customer Support	M&O: TRANS.03	Status Report Updates	Provide twice monthly updates on transition progress and all M&O and DDI activities	July- April
Work Stream: Data Quality and Business Operations				
Activity	Deliverable ID	Deliverable Title	Deliverable Description	Delivery Period
Business Operations Support	M&O: DQ-OPS.02	System and Operational Support	Analysis of system and operational issues, analysis of policy changes, development of workarounds and business processes. Support testing and system validation	July – October

**II.10.b DETAILED DESCRIPTION OF PRODUCTS AND SERVICES FOR JULY 1, 2017, THROUGH APRIL 30, 2018 – Design, Develop, and Implement (DDI)
1095A and 1095B DDI Contingency**

- a) The Contractor shall provide analysis of new Federal requirements related to 1095A and 1095B Noticing and reporting.
- b) The Contractor shall design, develop, and implement new requirements associated with 1095A and 1095B processing and reporting.

CMS Issuer Based Payments - Supporting Data Transmissions

1. The Contractor shall perform a gap Assessment between CMS requirements and Scope Assumptions.
2. The Contractor shall develop the capability for the State to consume up to six additional data transmissions from CMS supporting the Issuer-based payments system, including:
 - a) PPR (Preliminary Payment Report)
 - b) EPS Extract
 - c) Disputes Disposition File
 - d) Parsed SBMI/SBMR
 - e) HIX-820

3. The Contractor shall work with designated technology vendors advising the development of the ability to receive the above-mentioned data transmissions and store them into an accessible location for automated reporting consumption.

Reconciliation Reporting Enhancement

- a) The Contractor shall perform analysis, design, development, testing, and implementation of enhancements to QHP and Medicaid Reconciliation to enable reporting to be generated more rapidly and sustainably with higher levels of automation and fewer manual activities.

Data Quality Cleanup Support

- a) The Contractor shall perform analysis of data quality issues and provide recommended cleanup logic.
b) The Contractor shall perform integration of error configuration into PDQ tool for future monitoring.
c) The Contractor shall support operations with active data clean-up activities.

The following table identifies the DDI Deliverables to be provided by the Contractor between July 1, 2017 and April 30, 2018 throughout the course of performing the Activities described in Section II.10.b of this Attachment A.

Work Stream: IRS 1095 Reporting Activities				
Activity	Deliverable ID	Deliverable Title	Deliverable Description	Delivery Period
1095A/1095B Contingency	(DDI: IRS-CONTING.01)	New Requirements	Analysis, design, development and implementation of new requirements	July – December
Work Stream: CMS Enrollment Activities				
Activity	Deliverable ID	Deliverable Title	Deliverable Description	Delivery Period
CMS Issuer Based Payments	(DDI: CMS.01)	Additional Data Transmissions	Develop capability to consume up to six additional data transmissions from CMS	July - January
Work Stream: Reporting Sustainability Activities				
Activity	Deliverable ID	Deliverable Title	Deliverable Description	Delivery Period
Reporting Reconciliation	(DDI: SUS.02)	Reconciliation Reporting Enhancement	Analysis, design, development, testing and implementation of enhancement to QHP and Medicaid reconciliation	July - October
Work Stream: Data Quality and Business Operations				
Activity	Deliverable ID	Deliverable Title	Deliverable Description	Delivery Period
Data Quality Support	(DDI: DQ-OPS.01)	Data Cleanup Support	Analysis of data quality issues, integration of error configuration into PDQ tool for future monitoring	July - September

5. By adding to Attachment Section X (Constituent Obligations), as previously amended, the following:

X.5 CONSTITUENT OBLIGATIONS FOR ACTIVITIES FOR MARCH 26, 2017, THROUGH JUNE 30, 2017 – Maintenance and Operations (M&O)

The following list describes the constituent obligations for M&O Activities to be performed by the Contractor between July 1, 2017 and April 30, 2018 as outlined in Section II.10:

General Constituent Obligations

1. The maintenance of the OLAP server and tools is not considered in-scope for this contract. Maintenance of the “Archetype Remote Desktop” server and tools is not considered in-scope for this contract. Upgrades to Oracle Data Integrator, Oracle Business Intelligence Enterprise Edition, and the reporting server is not considered in-scope.
2. SHOP is not included in the scope. Any SHOP-related support or requests must be accomplished by a contract amendment.
3. The State is responsible for maintaining, via its Hosting/M&O vendor, all environment/infrastructure management and configuration.
4. The State shall provide the environment and tools necessary for leveraging the Benaissance “ALL DATA” file in ad-hoc and operational reporting. This shall include access to a Microsoft SQL Server (Express or Full) environment and the ability to ETL the data into the Oracle Data Warehouse in the VHC Environment.
5. The State has a very important role in the project to define requirements, make policy decisions, and direct the development of the Contractor’s team. The Contractor’s success is based on the State’s support and decisions. Important decisions around policy or requirements shall be made within 3 business days of the request for decision being presented by the Contractor.
6. The State will ensure infrastructure and environment assistance and access is provided for the Contractor’s activities.
7. The State will provide the Contractor with the right to use the following State Software as necessary for the Contractor to provide the services: Current version of eligibility and enrollment software (previously known as OneGate for HIX), SQL Developer, SoapUI, VM, Mozilla Firefox (newest version with Selenium loaded) Internet Explorer 8 and Microsoft Office (including Visio and Project), Secure FTP client (e.g. Winscp), Putty, MobaXTerm, Siebel Tools, RedHat operating system software, and any other State-approved software in the VDI environment necessary for the Contractor personnel to provide the services.
8. The State will provide reasonable office space and facilities for Contractor personnel providing services on-site. Contractor will provide their personnel with laptops that employ full disk encryption (FDE).
9. The State will provide remote-access capability via Citrix and an RSA Token, or other similar tools and technology.
10. The Contractor recognizes that the services performed under this Agreement are vital to the State and must be continued without interruptions and that, upon agreement expiration, a successor may continue them. The Contractor shall exercise reasonable efforts and cooperation to assist with an orderly and efficient transition to a successor. The scope of services and budget defined in this agreement do not include activities or funding for transition planning and execution. If the State requests specific transition services, Contractor will negotiate in good faith with the State to define detailed

transition scope and budget, and to amend the existing agreement to enable of the fulfillment of that scope.

Constituent Obligations by Activity

A) OP-TRANS.01: Transition

- i. The State will designate a State Transition Lead to support transition activities until completion.
- ii. The Contractor will provide the implementation-specific training and functional understanding. The Contractor shall not be responsible for on-the-job training of State staff on the Oracle tools, Oracle SQL, or Oracle work-flow processes.
- iii. All State new hires working under this scope will attend Oracle training for the relevant tool or skill needed for the position: Oracle Business Intelligence, Oracle SQL, and Oracle Data Integrator. These trainings are needed to streamline the Contractor to State transition.
 - **OBIEE:** Oracle BI 11g R1: Create Analyses and Dashboards
 - **ODI:** Oracle Data Integrator 11g: Integration and Administration
 - **Oracle SQL:** Oracle Database 12c: Introduction to SQL Ed 1.1.
- iv. All State new hires working under this scope shall assume the responsibility of the Contractor's tasks according to this rate
 - Month 1 Since Employment State Date: 33% effectiveness (Requires significant Archetype support for tasks)
 - Month 2 Since Employment State Date: 67% effectiveness (Requires some Archetype oversight for tasks)
 - Month 3 Since Employment State Date: 100% effectiveness (No longer need Archetype support for tasks)

X.6 CONSTITUENT OBLIGATIONS FOR ACTIVITIES FOR JULY 1, 2017 THROUGH APRIL 30, 2018 – Design, Develop, and Implement (DDI)

The following list describes the constituent obligations for DDI Activities to be performed by the Contractor between July 1, 2017 and April 30, 2018:

General Constituent Obligations

1. The maintenance of the OLAP server and tools is not considered in-scope for this contract. Maintenance of the "Archetype Remote Desktop" server and tools is not considered in-scope for this contract. Upgrades to Oracle Data Integrator, Oracle Business Intelligence Enterprise Edition, and the reporting server is not considered in-scope.
2. SHOP is not included in the scope. Any SHOP-related support or requests must be accomplished by a contract amendment.
3. The State is responsible for maintaining, via its Hosting/M&O vendor, all environment/infrastructure management and configuration.
4. The State shall provide the environment and tools necessary for leveraging the Benaissance "ALL DATA" file in ad-hoc and operational reporting. This shall include access to a Microsoft SQL Server (Express or Full) environment and the ability to ETL the data into the Oracle Data Warehouse in the VHC Environment.
5. The State has a very important role in the project to define requirements, make policy decisions, and direct the development of the Contractor's team. The Contractor's

success is based on the State's support and decisions. Important decisions around policy or requirements shall be made within 3 business days of the request for decision being presented by the Contractor.

6. The State will ensure infrastructure and environment assistance and access is provided for the Contractor's activities.
7. The State will provide the Contractor with the right to use the following SOV Software as necessary for the Contractor to provide the services: Current version of eligibility and enrollment software (previously known as OneGate for HIX), SQL Developer, SoapUI, VM, Mozilla Firefox (newest version with Selenium loaded) Internet Explorer 8 and Microsoft Office (including Visio and Project), Secure FTP client (e.g. Winscp), Putty, MobaXTerm, Siebel Tools, RedHat operating system software, and any other State-approved software in the VDI environment necessary for the Contractor personnel to provide the services.
8. The State will provide reasonable office space and facilities for Contractor personnel providing services on-site. The State will provide reasonable office space and facilities for Contractor personnel providing services on-site. Contractor will provide their personnel with laptops that employ full disk encryption (FDE).
9. The State will provide remote-access capability via Citrix and an RSA Token, or other similar tools and technology.
10. The Contractor recognizes that the services performed under this Agreement are vital to the State and must be continued without interruptions and that, upon agreement expiration, a successor may continue them. The Contractor shall exercise reasonable efforts and cooperation to assist with an orderly and efficient transition to a successor. The scope of services and budget defined in this agreement do not include activities or funding for transition planning and execution. If the State requests specific transition services, Contractor will negotiate in good faith with the State to define detailed transition scope and budget, and to amend the existing agreement to enable of the fulfillment of that scope.

6. By deleting number 1 of Attachment B, as previously amended, and substituting in lieu thereof the following number 1:

1. Contractor invoices shall be submitted no more frequently than monthly, but no later than quarterly. The State shall pay the Contractor at the blended hourly rates or at the fixed prices as indicated in Attachment B, number 6. The total contract maximum amount shall not exceed **\$15,128,680.23**, which is payable after the State's acceptance and approval of deliverables and work products specified within Attachment A. The State's payment terms are Net 30 days.
 - a. For work paid at a blended hourly rate, the Contractor shall include the number of hours worked per individual during the specified billing by period, broken out by scope, and the total amount billed. For work billed at a fixed price,
 - b. For work paid at a fixed price, invoices shall include deliverables completed and accepted by the State during the specified billing period and the total amount billed. For Projects 25, 27, 31 and 33 the State shall pay the Contractor at a fixed fee of \$78,060 per invoice (seven invoices totaling \$546,420) inclusive of time, materials, and travel expenses. Payment is due 30 days after invoice submission.

7. By deleting number 6 of Attachment B, as previously amended, and substituting in lieu thereof the following number 6:

6. The following chart depicts the budget for each of the projects specified within Attachment A. Upon the State's acceptance of the deliverables and work products identified in Attachment A, the State shall pay the Contractor for the following projects:

Total Contract Budget – Base through Amendment 7

#	Scope	Total Budget as of Amendment 7	Base (1/3/15- 12/31/2015)	Amendment 1 (10/11/15- 4/30/16)	Amendment 2 (11/9/15 – 12/31/16)	Amendment 3 (4/30/16- 12/31/16)	Amendment 5 (1/1/17 - 6/30/17)	Amendment 6 (3/26/17- 6/30/17)	Amendment 7 (7/1/17- 4/30/18)
1	1095A (2016 Submission for 2015 Coverage)	\$97,818.75		\$99,900.00		(2,081.25)			
2	Medicaid Reconciliation	\$58,043.75		\$59,136.00		(1,092.25)			
3	1095B (2016 Submission for 2015 Coverage)	\$519,816.00		\$519,816.00		\$18,500.00		(18,500.00)	
4	2016 Scope Work from Amendment 2 (Reporting 2016): <i>New Development and Reporting (Core Initiatives)</i>	\$1,982,996.50			\$2,800,000.00	(909,339.20)		\$92,335.70	
5	2016 Scope Work from Amendment 2 (Reporting 2016): <i>Ad Hoc Report Request Fulfillment</i>	\$705,793.50				\$694,321.00		\$11,472.50	
6	2016 Scope Work from Amendment 2 (Reporting 2016): 1095A Analysis and Triage Surge	\$139,952.50				\$124,320.00		\$15,632.50	
7	OneGate Support Staffing**	\$2,464,913.25			\$1,595,510.00	\$1,120,816.00		(251,412.75)	
8	Amazon Web Services***	\$15,483.23			\$23,380.00			(7,896.77)	
9	CMS Enrollment Interface	\$292,207.50				\$329,300.00		(37,092.50)	
10	1095B Manual XML Correction, Analysis, and Resubmission	\$30,016.25				\$102,675.00		(72,658.75)	
11	2015 Scope of Work (Reporting 2015)*	\$2,475,339.00	\$2,571,307.00	\$152,000.00		(247,968.00)			

**STATE OF VERMONT, CONTRACT
DEPARTMENT OF VERMONT HEALTH ACCESS
ARCHETYPE CONSULTING, INC.**

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12	2017: Operational Report Delivery Activities (M&O)	\$466,200.00					\$466,200.00		
13	2017: IRS 1095 Reporting Activities (M&O)	\$854,700.00					\$854,700.00		
14	2017: IRS 1095 Reporting Activities (DDI)	\$80,660.00					\$80,660.00		
15	2017: CMS Reporting Activities (M&O)	\$209,790.00					\$209,790.00		
16	2017: CMS Reporting Activities (DDI)	\$427,350.00					\$427,350.00		
17	2017: Reporting Sustainability Activities (M&O)	\$152,320.00					\$124,320.00		\$28,000.00
18	2017: Reporting Sustainability Activities (DDI)	\$209,790.00					\$209,790.00		
19	2017: Preliminary Transition Activities (M&O)	\$108,780.00					\$108,780.00		
20	2017: Data Quality and Business Operations** (M&O)	\$369,152.00					\$397,152.00		(\$28,000.00)
21	2017: Data Quality and Business Operations** (DDI)	\$397,152.00					\$397,152.00		
22	2017: Operational Reporting and PDQ Transition Delivery Activities (M&O)****	\$239,310.00						\$239,310.00	
23	2017: QHP and Medicaid Reconciliation Reporting Enhancements (DDI) ****	\$16,050.00						\$16,050.00	
24	2018: Operational Report Delivery Activities (M&O: OPS-RPT.01)	\$42,239.00							\$42,239.00
25	2018: 1095A (Noticing, Correction, and End of Month	\$159,463							\$159,463.00

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	Reporting for Plan Year 2016) (M&O: IRS-A.01 and .02)^								
26	2018: 1095A Case Triage and Analysis (M&O: IRS-A.03)	\$125,218.00							\$125,218.00
27	2018: 1095B (Noticing, Correction, and End of Month Reporting for Plan Year 2016) (M&O: IRS-B.01 and .02)^	\$46,494.00							\$46,494.00
28	2018: 1095B Case Analysis and Triage (M&O: IRS-B.03)	\$46,494.00							\$46,494.00
29	2018: 1095A Initiating Activities for Plan Year 2017 (M&O: IRS-A.04)	\$144,633.00							\$144,633.00
30	2018: 1095B Initiating Activities for Plan Year 2017 (M&O: IRS-B.04)	\$175,207.00							\$175,207.00
31	2018: 1095A (Noticing, Correction, and End of Month Reporting for Plan Year 2017) (M&O: IRS-A.05 and .06)^	\$179,112.00							\$179,112.00
32	2018: 1095A Case Analysis and Triage for Plan Year 2017 (M&O: IRS-A.07)	\$97,428.00							\$97,428.00
33	2018: 1095B (Noticing, Correction, and End of Month Reporting for Plan Year 2017) (M&O: IRS-B.05 and .06)^	\$161,352.00							\$161,352.00
34	2018: 1095B Case Analysis and Triage for Plan Year 2017 (M&O: IRS-B.07)	\$71,036.00							\$71,036.00
35	2018: 1095A and 1095B DDI Contingency (DDI: IRS- CONTING.01)	\$124,320.00							\$124,320.00

**STATE OF VERMONT, CONTRACT
DEPARTMENT OF VERMONT HEALTH ACCESS
ARCHETYPE CONSULTING, INC.**

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CONTRACT # 28363
AMENDMENT #6**

36	2018: CMS Enrollment Interface (M&O) (M&O: CMS.01)	\$210,682.00							\$210,682.00
37	2018: CMS Issuer Based Payments (DDI) (DDI: CMS.07-08)	\$250,000.00							\$250,000.00
38	2018: Reporting Infrastructure Support (M&O) (M&O: SUS.01)	\$125,534.00							\$125,534.00
39	2018: Reconciliation Reporting Enhancement (DDI: SUS.02)	\$222,655.00							\$222,655.00
40	2018: Transition Activities (M&O: TRANS.01)	\$283,466.00							\$283,466.00
41	2018: Customer Support (M&O: TRANS.02)	\$180,218.00							\$180,218.00
42	2018: Data Quality Clean Up Support (DDI: DQ-OPS.01)	\$75,495.00							\$75,495.00
43	2017: Business Operations Support (M&O: DQ-OPS.02)	\$94,000.00							\$94,000.00
	Total Amendment Summary	\$15,128,680.23	\$2,571,307.00	\$830,852.00	\$4,418,890.00	\$1,229,451.30	\$3,275,894.00	\$(12,760.07)	\$2,815,046

Billing Assumptions:

1. Base agreement was submitted at a blended rate of \$165 from January 3, 2015 to October 10, 2015. During this time, travel expenses were paid out in addition to the rate per hour. As of October 11, 2015, Projects 1-6 and 9-21 in the chart above shall be billed at \$185 per hour, inclusive of time, material, and travel.
2. The Contractor shall bill the State for Project 7 (OneGate Support Staffing) at a rate of \$197/hour for staff costs, which is inclusive of all staff expenses, benefits, and time.
3. The Contractor shall bill the State for Project 8 (Amazon Web Services) at a rate up to \$3,980 per month not to exceed twelve months of service.
4. The Contractor shall bill the State for Projects 22 and 23 at a combined fixed fee of \$85,120 per month for the months of April, May, and June 2017, not to exceed \$255,360 total.
5. As of July 1, 2017, projects 24, 26, 28-30, 32, and 34-43 shall be billed at a rate of \$190 per hour, inclusive of time, material, and travel.
6. The Contractor shall invoice a fixed monthly price of \$78,060 for seven (7) months for projects 25, 27, 31, and 33.

This amendment consists of 16 pages. Except as modified by this amendment and any previous amendments, all provisions of this contract, (#28363) dated January 3, 2015 shall remain unchanged and in full force and effect.

**STATE OF VERMONT
DEPARTMENT OF VERMONT HEALTH ACCESS**

**CONTRACTOR
ARCHETYPE CONSULTING, INC.**

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